Attorney Docket No. FORS-

AUG 1 5 2005

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Cracauer, et al

Group No.:

1743

Serial No.:

09/930,535

Examiner:

Nagpaul, Jyoti

Filed:

08/15/2001

Entitled:

**Polymer Synthesizer** 

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 12, 2005.

Sir or Madam:

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Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A check for \$180.00 is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: <u>August 12, 2005</u>

08/16/2005 CNGUYEN2 00000015 09930535

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Registration No. 42,363

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplication of:

Raymond F. Cracauer

Serial No.:

09/930,535

08/15/01 Filed:

Group No.: 1743 Examiner:

J. Nagpaul

Entitled:

**Polymer Synthesizer** 

## INFORMATION DISCLOSURE STATEMENT

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Mary Ellen Waite

### Sir or Madam:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

The following printed publications are referred to in the body of the specification:

- U.S. Patent No. 5,472,672;
- U.S. Patent No. 5,368,823;
- U.S. Patent No. 5,744,102;
- U.S. Patent No. 4,598,049;
- U.S. Patent No. 5,202,418;
- U.S. Patent No. 5,338,831;
- U.S. Patent No. 5,342,585;
- U.S. Patent No. 6,045,755;
- U.S. Patent No. 6,121,054; and
- PCT publication WO 01/41918

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,280,950;
- U.S. Patent No. 6,270,730;
- U.S. Patent No. 6,264,891;
- U.S. Patent No. 6,126,904;
- U.S. Patent No. 6,171,555;
- U.S. Patent No. 6,150,102;
- U.S. Patent No. 6,096,276;
- U.S. Patent No. 5,792,430;
- U.S. Patent No. 5,770,157;
- U.S. Patent No. 5,580,523;
- U.S. Patent No. 5,443,791;
- U.S. Patent Publication No. 2001/0022950;
- U.S. Patent Publication No. 2001/0007644;
- U.S. Patent Publication No. 2001/0001035;
- U.S. Patent Publication No. 2001/0000723.
- U.S. Patent No. 3,917,455;
- U.S. Patent No. 4,353,989;
- U.S. Patent No. 4,483,964;
- U.S. Patent No. 4,517,338;
- U.S. Patent No. 4,598,045;
- U.S. Patent No. 4,744,037;
- U.S. Patent No. 4,837,159;
- U.S. Patent No. 5,053,454;
- U.S. Patent No. 5,112,575;
- U.S. Patent No. 5,262,530;
- U.S. Patent No. 5,298,259;
- U.S. Patent No. 5,437,979;
- U.S. Patent No. 5,462,748;
- U.S. Patent No. 5,466,608;
- U.S. Patent No. 5,503,805;
- U.S. Patent No. 5,762,881;
- U.S. Patent No. 5,789,162;

- U.S. Patent No. 5,874,554;
- U.S. Patent No. 5,885,837;
- U.S. Patent No. 5,981,733;
- U.S. Patent No. 6,057,424;
- U.S. Patent No. 6,165,717;
- U.S. Patent No. 6,175,006;
- U.S. Patent No. 6,177,554;
- U.S. Patent No. 6,225,061;
- U.S. Patent No. 6,329,210;
- U.S. Patent No. 4,401,796;
- U.S. Patent No. 4,689,405;
- U.S. Patent No. 4,734,363;
- U.S. Patent No. 4,950,745;
- U.S. Patent No. 5,047,524;
- U.S. Patent No. 5,112,962;
- U.S. Patent No. 5,132,418;
- U.S. Patent No. 5,149,798;
- U.S. Patent No. 5,264,562;
- U.S. Patent No. 5,264,566;
- U.S. Patent No. 5,324,831;
- U.S. Patent No. 5,514,789;
- U.S. Patent No. 5,518,651;
- U.S. Patent No. 5,548,076;
- U.S. Patent No. 5,616,700;
- U.S. Patent No. 5,620,852;
- U.S. Patent No. 5,639,873;
- U.S. Patent No. 5,646,267;
- U.S. Patent No. 5,652,358;
- U.S. Patent No. 5,668,266;
- U.S. Patent No. 5,668,268;
- U.S. Patent No. 5,700,919;
- U.S. Patent No. 5,703,218;
- U.S. Patent No. 5,703,223;
- U.S. Patent No. 5,723,599;
- U.S. Patent No. 5,726,300;

- U.S. Patent No. 5,736,626;
- U.S. Patent No. 5,789,162;
- U.S. Patent No. 5,821,058;
- U.S. Patent No. 5,840,841;
- U.S. Patent No. 6,313,284;
- U.S. Patent No. 5,541,314;
- U.S. Patent No. 5,053,454;
- U.S. Patent No. 6,432,365;
- U.S. Patent No. 6,238,627;
- U.S. Patent No. 5,702,672;
- U.S. Patent No. 3,986,835;
- U.S. Patent No. 6,485,692;
- U.S. Patent No. 5,935,527
- U.S. Patent No. 5,865,224
- U.S. Patent No. 5,153,319
- U.S. Patent No. 5,143,418
- U.S. Patent No. 4,458,066
- U.S. Patent No. 5,099,988
- WO 99/65602
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- WO98/36829;
- WO98/39099;
- WO98/57181; and
- User's Manual ABI 3900 High Throughput DNA Synthesizer, Applied Biosystems (2001)

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: August 12, 2005

Mary Ann Brow

Registration No. 42,363

MEDLEN & CARROLL, LLP 101 Howard Street, Suite 305 San Francisco, California 94105 608/218-6900 FORM PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: FORS-06449 Serial No.: 09/930,535 INFORMATION DISCOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) Applicant: Raymond F. Cracauer et al. Group Art Unit: 1743 Filing Date: 8/15/01 (37 CFR § 1.98(16)) 5 2005 **U.S. PATENT DOCUMENTS** Serial / Patent Number Examiner Initials Subclass Filing Date Issue Date Applicant / Patentee Class PANE 412,672 422 131 10/22/93 12/5/95 Bennan 422 1340 2/11/93 11/29/94 McGraw et al. 2 5,368,823 4/29/93 422 131 3 5,935,527 8/10/99 Andrus et al. 12/20/96 2/2/99 Ally et al. 141 130 4 5,865,224 10/28/99 Lipshutz et al. 435 6 5 6,280,950 8/28/01 422 131 6/16/98 6,270,730 8/7/01 McLuen et al. 6 5/18/99 7/24/01 422 64 7 Heyneker et al. 6,264,891 422 130 9/5/97 10/3/00 Zuellig et al. 8 6,126,904 3/6/98 104 422 9 6,171,555 1/9/01 Cargill et al. 5/15/98 11/21/00 Mills, Jr. et al. 435 6 10 6,150,102 8/14/91 422 103 8/1/00 Laursen 11 6,096,276 422 3/10/97 4/4/00 Lebl et al. 65 12 6,045,755 8/11/98 422 131 8/11/98 Hamper 13 5,792,430 422 99 9/18/96 6/23/98 Cargill et al. 14 5,770,157 422 50 4/1/94 15 5,580,523 12/3/96 Bard 8/22/95 Cathcart et al. 422 65 8/7/92 5,443,791 16 Schleifer 436 518 10/29/99 17 6,329,210 12/11/01 3/30/99 1/16/01 Andrus et al. 536 25.4 18 6,175,006 5/2/00 Vail, III 530 333 3/24/97 19 6,057,424 9/16/96 536 25.3 11/9/99 Gamble et al. 20 5,981,733 91.1 10/23/96 435 21 5,885,837 3/23/99 Winkler et al. 5/8/97 530 338 22 5,840,841 11/24/98 Zuckermann et al. 25.31 8/2/94 McGraw et al. 536 23 5,541,314 7/30/96 86 9/7/93 24 5,466,608 11/14/95 Lapluye et al. 436 424 484 1/14/94 25 5,462,748 10/31/95 Lloyd et al. 424 486 11/5/91 Lloyd et al. 26 5,298,259 3/29/94 25.31 7/27/90 11/16/93 Andrus et al. 536 27 5,262,530 27 3/31/86 10/6/92 Caruthers et al. 536 28 5,153,319 27 6/18/94 Caruthers et al. 536 7/21/92 29 5,143,418 422 116 2/14/91 5/12/91 Whitehouse et al. 30 5,112,575 536 27 12/21/88 9/10/91 Andrus et al. 31 5,047,524 497 7/15/85 Niina et al. 364 5/10/88 32 4,744,037 54.11 7/13/84 5/14/85 Urdea et al. 525 33 4,517,338 287 8/31/83 435 7/1/86 Zelinka et al. 34 4,598,049 Date Considered: Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **EXAMINER:** 

FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: FORS-06449

Serial No.: 09/930,535

(Modified)	Patent and Trademark Office	rational booker roll rolls out is	
INFORMATION DISCLOSURE STA (Use Several Sheets If	TEMENT BY APPLICANT Necessary)	Applicant: Raymond F. Cracauer et al.	
(37 CFR § 1.98(b)) aug	,,	Filing Date: 8/15/01	Group Art Unit: 1743

<u> </u>	B AUG	1 5 2005 (b)		U.S. PATENT DOCUMENTS			
xaminer Initials	Site of	Serial / Ratent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	35	4,483,964	11/20/84	Urdea et al.	525	54.11	6/20/83
	36	4,458,066	7/3/84	Caruthers et al.	536	27	3/24/81
	37	4,353,989	10/12/82	Bender et al.	435	287	1/19/81
	38	6,177,554	1/23/01	Woo et al.	536	23.1	6/5/95
	39	5,736,626	4/7/98	Mullah et al.	536	25.3	1/29/96
	40	5,723,599	3/3/98	Klem etal.	536	25.3	3/22/95
-	41	5,703,218	12/30/97	Urdea et al.	536	23.1	4/13/95
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	43	5,652,358	7/29/97	Pfleiderer et al.	536	25.3	11/3/94
	44	5,639,873	6/17/97	Barascut et al.	536	25.3	8/4/94
	45	5,518,651	5/21/96	Reddy et al.	252	193	6/8/94
	46	5,264,562	11/23/93	Matteucci	536	23.1	4/24/91
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	48	6,313,284 B1	11/6/01	Kwiatkowski eet al.	536	25.3	3/30/00
	49	6,225,061 B1	5/1/01	Becker et al.	435	6	3/10/99
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	51	5,874,554	2/23/99	Gamble et al.	536	22.1	12/13/96
	52	5,789,162	8/4/98	Dower et al.	435	6	6/7/95
	53	5,726,300	3/10/98	Klem et al.	536	25.34	6/15/94
	54	5,703,223	12/30/97	Wickstrom et al.	536	25.33	9/2/94
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	57	5,646,267	7/8/97	Stec et al.	536	25.33	6/5/95
	58	5,620,852	4/15/97	Lin et al.	435	6	10/31/94
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	60	5,548,076	8/20/96	Froehler et al.	536	25.34	8/13/93
	61	5,514,789	5/7/96	Kempe	536	25.4	4/21/94
	62	5,324,831	6/28/94	Marquez et al.	536	25.3	4/6/88
	63	5,264,566	11/23/93	Froehler et al.	536	25.34	4/26/90
	64	5,149,798;	9/22/92	Agrawal et al.	536	27	4/6/89
	65	4,734,363	3/29/88	Dattagupta <i>et al</i> .	435	91	11/27/984
	66	4,689,405	8/25/98	Frank <i>et al</i> .	536	27	1/4/84
	- 67	4,401,796	8/30/83	Itakura	535	340	4/30/81
	68	5,112,962	5/12/91	Letsinger et al.	536	27	11/9/90
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FORM PTO-1449 OIPE

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: FORS-06449

Serial No.: 09/930,535

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)

Applicant: Raymond F. Cracauer et al.

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Filing Date: 8/15/01 Group Art Unit: 1743

(37 CFR § 1.98(b))AU6 1 5 2005		Filing Date: 8/15/01 Group A			Group Art Uni	t: 1743			
	<b>B</b>	ري ا		U.S. PATENT DOCUMENTS		r			
Examiner Initials	Serie T	Serial Patent	Issue Date	Applicant / Patentee	Class	Subclass	Filing	Date	
	69	3,917,455	11/4/75	Bak et al.	23	253	5/10/	/74	
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	71	5,503,805	4/2/96	Sugarman et al.	422	131	11/2/	/93	
	72	5,437,979	8/1/95	Rampal et al.	435	6	8/24/	/92	
	73	5,762,881	6/9/98	Harness et al.	422	132	10/29	10/29/96 2/19/85	
	74	4,598,045	7/1/86	Masover et al.	435	34	2/19/		
	75	5,053,454	10/1/91	Judd	525	54.11	2/15/	/89	
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	77	6,238,627	5/29/01	McGowan	422	130	8/26	/98	
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	83	5,202,418	4/13/1993	Lebl et al.	530	334	1/24	/91	
	84	5,099,988	3/31/92	Garran	206	223	3/20/91		
			U.S. PA	ATENT APPLICATION PUBLICATION					
Examiner Initials	Cite No.	Publication Number	Publication Date	Applicant / Patentee	Class	Subclass	Filing	Date	
	85	2001/0022950	9/20/01	Hall et al.	422	131	5/18	/01	
	86	2001/0007644	7/12/01	McLuen et al.	422	131	12/19	9/00	
	87	2001/0001035	5/10/01	McLuen et al.	422	100	12/19	9/00	
	88	2001/0000723	5/3/01	McLuen et al.	422	100	12/19	9/00	
		F	OREIGN PATENTS	OR PUBLISHED FOREIGN PATENT APPLICA	TIONS	<u></u>			
	Document Number		Publication Date	Country / Patent Office	Class	Subclass	Transl Yes	ation No	
	ر 89	WO99/65602	23 Dec. 99						
	90	WO 00/56445	28 Sept. 00						
	- 91	WO 91/13084	5 Sept. 91						
	- 92	WO 98/36829	27 Aug. 98					,	
	93	WO 98/39099	11 Sept. 98						
	94	WO 98/57181	17 Dec. 98						
	95	WO 98/10857	19 Mar. 98						
	96	WO 01/41918							
		OTHER	DOCUMENTS (Incl	uding Author, Title, Date, Relevant Pages, Place	of Publication)				
	97			at DNA Synthesizer, Applied Biosystems (2001)					
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Examiner:				Date Considered:					

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

rmation unless it contains a valid OMB control number

Substitute for form 1449/PTO	Complete if Known			
Substitute for form 1443/110	Application Number	09/930,535		
INCOMENON DICCLOCUDE	Filing Date	8/15/01		
INFORMATION DISCLOSURE	First Named Inventor	Cracauer		
STATEMENT BY APPLICANT	Art Unit	1743		
(Use as many sheets as necessary)	Examiner Name	Nagpaul		
Sheet 14 Ali 1 5 2005 1, of 4	Attorney Docket Number	FORS-06449		

	- Ki	<u> </u>		DOCUMENTS	I D Oct Lines Miner
Examiner Initials*	No.	Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	98	<sup>US-</sup> 5,338,831	8/16/94	Lebl et al.	whole document
	99	<sup>US-</sup> 5,342,585	8/30/94	Lebl et al.	whole document
	100	<sup>US-</sup> 6,121,054	8/19/2000	Lebi	whole document
	101	<sup>US-</sup> 5,132,418	7/21/92	Caruthers et al.	whole document
	102	<sup>US-</sup> 5,821,058	10/13/98	Smith et al.	whole document
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-6
		Country Code <sup>3 "</sup> Number <sup>4 "</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	
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Examiner	Date	
Signature	Consid	ered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.